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| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/697,158-Conf. #9103 | |
| | | | Filing Date | October 31, 2003 | |
| | | | First Named Inventor | Kazuki Emori | |
| | | | Art Unit | 3714 | |
| | | | Examiner Name | T. Y. Harper | |
| Sheet | 1 | of | 1 | Attorney Docket Number | SHO-0032 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ³ |
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| ↓ | BB | JP-2001-54614-A | 02-27-2001 | KYORAKU KK | | |
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| ↓ | BD | JP-2001-321484-A | 11-20-2001 | SOPHIA CO., LTD. | | |
| /TH/ | BE | JP-2002-143387-A | 05-21-2002 | HEIWA CORP. | | |

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| /TH/ | CA | JPO OA "Notification of Reason for Refusal", App. No. 2003-334120, Dispatch Date: February 21, 2006 (5 pages) | ✓ |
| /TH/ | CB | JPO OA "Notification for Reason for Refusal", App. No. 2002-334120, Dispatch Date: September 5, 2006 (5 pages) | ✓ |

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| Examiner Signature | /Tramar Harper/ | Date Considered | 12/10/2007 |
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